



Dawn Foster

CA SRTS Program Coordinator

- ✦ Dawn has worked for 28 years in the engineering field both at the state and local level.
- ✦ She has been at Caltrans for over 20 years.
- ✦ She became the SRTS Statewide Program Coordinator in 2010.
- ✦ She has 3 children who attend high school, middle school and elementary school and has first hand knowledge of the challenges of getting her kids back and forth to school!
- ✦ Her husband Kent is also a Civil Engineer and her sounding block on many of the local issues that she faces in her position.
- ✦ Outside of her job, she loves anything Disney and can't wait to take another trip to Disneyland with her family!



California's Federal SRTS Program



Caltrans Division of Local Assistance

Dawn Foster, SRTS Statewide Program Coordinator

California's Federal SRTS Program Presentation Overview

- History of SRTS Delivery and Obligations
- SRTS Program Delivery Requirements
- FSTIP Programming for SRTS Projects
- Policy Revisions for SRTS Non-Infrastructure
- Statewide SRTS Technical Assistance



SRTS History

California SRTS History

- 2009 – Review of all 219 SRTS Projects for project status.
 - Discovered that many projects were still unobligated from first cycle of funding!
 - Poor Delivery was attributed to:
 - Economic Conditions
 - Higher Priority Projects in Local Agencies – Recovery Act, High Profile Projects, etc.
 - Misunderstanding of FSTIP Programming
 - Unclear policies on Non-Infrastructure Projects
 - Low construction bids resulted in un-used programmed funds

California SRTS History, cont.

- Three major changes were initiated to improve obligations in California
 - 1) In 2010, Program Delivery Requirements were established
 - 2) In 2011, 4-Year FSTIP Programming Capacity was utilized
 - 3) In 2011, 3 new policies on non-infrastructure projects were established



California SRTS Program Delivery

SRTS Program Delivery

- 2010 – SRTS Program Delivery Requirements were established
- All existing SRTS projects are now tracked for project delivery milestones from FSTIP Approval Date:
 - 6 months to Obligate Preliminary Engineering
 - 30 months to Obligate Construction
 - 54 months to Close-Out Project

SRTS Program Delivery, cont.

- A program status document is posted quarterly at:
 - http://www.dot.ca.gov/hq/LocalPrograms/HSIP/delivery_status.htm

SRTS Program Delivery, cont.

- Agencies behind schedule and 'flagged' during an application call will not be eligible to apply for new funding
- Agencies that remove the flag prior to the due date will be eligible to compete



- » Local Assistance Home
- » DLA Sitemap
- » Documents and Forms
- » Environmental
- » Equal Opportunity
- » Inactive Projects
- » Local Assistance Contacts
- » Other Links
- » PE Phase > 10 Years
- » Program Information
- » Project Delivery Report
- » Publications
- » Recovery Act
- » Reports and Databases
- » Training Programs
- » Update Notification

Safety Program Delivery Status Reports

In an effort to increase the visibility of the federal safety programs and improve the delivery of local agency safety projects, the Office of Bridge and Safety (OBSP) has developed safety program delivery requirements and program/project summary reports for each safety program. See the table, below, for the summary reports. For additional information, read the [Safety Program Delivery Requirements \[pdf\]](#) (Updated 9/30/2011). OBSP established similar, but unique delivery requirements for Federal Safe Routes to School non-infrastructure projects. [Safety Program Delivery Requirements-Non Infrastructure \[pdf\]](#) (Updated 10/13/2010).

The OBSP expects all agencies to deliver their safety projects within the time frames provided. Local agencies may be required to use the Expedited Project Selection Procedures (EPSP) and advance the delivery of the project in order to meet the delivery time frames. Caltrans District and Headquarters staff will work with the local agency to use EPSP and ensure that authorization requests for all phases are approved as quickly as possible. For additional information on the use of EPSP, read [Using EPSP to Meet Delivery Requirements \[pdf\]](#). (Updated 12/15/2010)

The table below has links to summary reports for the three (3) safety programs.

Local agencies with on-going safety projects should check and monitor the delivery status of each of their projects by opening the "Complete Project Listing" report. This report shows the expected PE and CON authorization dates for each project and its current status. This report also identifies projects with delivery requirement in current Federal Fiscal Year.

On April 23, 2012, Caltrans announced a [combined call for HSIP and HR3 projects](#). If an agency has an active HSIP or HR3 project that is flagged for not meeting one or more of these milestones, Caltrans will not accept HSIP or HR3 applications from that agency unless the flags have been resolved by the application due date, Friday, July 20, 2012.

PROJECT AND PROGRAM SUMMARIES

Report Period	Highway Safety Improvement Program (HSIP)	High Risk Rural Roads Program (HR3)	Federal Safe Routes to School Program (SRTS)	All Three (3) Safety Programs (HSIP, HR3, and SRTS) NEW
01-01-12 to 03-31-12	Overall Program Status Complete Project Listing	Overall Program Status Complete Project Listing	Overall Program Status Complete Project Listing	Summary by Agency (Sort by Agency) Summary by Agency (Sort by Obligation Rate) Summary by MPO Summary by RTPA Complete Project Listing
10-01-11 to 12-31-11	Overall Program Status Complete Project Listing	Overall Program Status Complete Project Listing	Overall Program Status Complete Project Listing	Summary by Agency (Sort by Agency) Summary by Agency (Sort by Obligation Rate) Summary by MPO Summary by DTPA



Tracking of Project Delivery Milestones

FFY 11/12 Delivery Requirement

✓			✗			
◆			○			

* For projects in earlier cycles, the FTIP Approval Date shown may have been adjusted for the new program delivery requirements. See the delivery requirements on the DLA webpage for more details.

** "NI => No PE Auth": Non-Infrastructure project. No PE Phase;

"No PE Auth": Federal funds are not used in PE Phase;

"PE not Requested": PE Phase has completed at the time of project application. CON milestone deadline is set to be 6 months from FTIP approval date.

FTIP Approval Date *	Date PE should be authorized. (typically 6 months after FTIP approval date)**		Date CON should be authorized. (typically 30 months after FTIP approval date)		Date Close-out should be completed. (typically 54 months after FTIP approval date)		Delivery Milestone in or Prior to FFY 11/12?	Red Flagged?
12/7/2011	6/7/12	○	6/7/14	■	6/7/16	■	Yes	No
11/17/2008	Completed as of 3/31/10	✓	3/31/12	✗	3/31/14	■	Yes	Yes
11/17/2008	9/30/10	✓	4/1/13	◆	4/1/15	■	No	No

SRTS Program Delivery Outcomes

- Program Delivery Flags were tested during our Cycle 3 of the SRTS Program
- Many agencies cleared their flags during the application process in order to apply for new money!
- Expected increase in obligations occurred during the application cycle!



FSTIP Programming for SRTS

FSTIP Programming

FEDERAL/STATE PLANNING & PROGRAMMING PROCESS

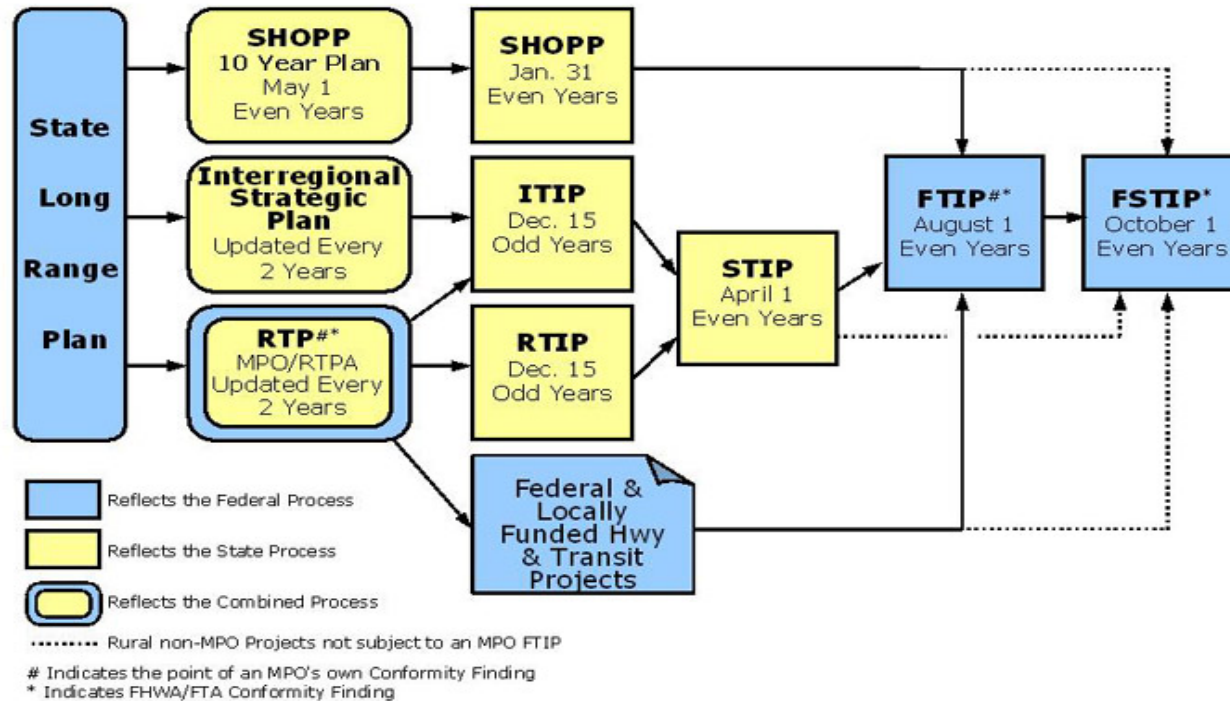


Figure - I

Transportation Programming

FSTIP Programming for SRTS

- SRTS Projects are programmed by Lump Sum in the FSTIP (Federal Statewide Transportation Improvement Plan)
 - Pros
 - More flexibility to manage funds
 - Less resources needed to manage program
 - Allows agencies to obligate early
 - Cons
 - Misunderstanding of Lump Sum Programming
 - Other transportation funds program by “phase” of project with expectation that they can’t come in early for obligation

FSTIP Programming for SRTS, cont.

- The FSTIP is a 4 – year programming document which must be constrained by the available apportionment per year over the 4-year period.
- Once a project is included in a 4-year FSTIP, it may be obligated in any of the 4-years provided that apportionment is available

FSTIP Programming for SRTS, cont.

- It was determined that programming projects based on utilizing the full 4-year FSTIP Programming Capacity (carry-over + new apportionments) provides the best opportunity to improve future obligations

FSTIP Programming for SRTS, cont.

- **2011 FSTIP Programming Capacity (approximate):**
4 – years x \$ 22 million = 88 million
- **Available Programming Capacity:**
(October 2011): \$42 million
- **California programmed in Oct 2011:**
\$66 million in new (cycle 3) SRTS projects
(\$42 million in the 4 year 2011 FSTIP and 24 million in the “beyond” years)

SRTS Non-Infrastructure (NI) Project Policies



SRTS NI Projects

- Discovered that SRTS NI Projects had low obligations
- Caltrans Local Assistance Procedures Manual did not address NI Projects
- In 2011, 3 new policies were established to improve NI project delivery/obligations

SRTS Policies

Non-Infrastructure Projects

- Revised Chapter 3 of the Local Assistance Procedures Manual for the “**Request for Authorization for Non-Infrastructure Projects**”
- Revised Chapter 6 of the Local Assistance Procedures Manual for the “**Preliminary Environmental Studies – Non-Infrastructure**” procedures and forms

SRTS Policies

Non-Infrastructure Projects

- Revised Policy on Right of Way Requirements such that a “**No Right of Way Certification**” was needed for Non-Infrastructure Projects

CA SRTS Technical Assistance

TARC staff
conducting a walk
audit with
community
leaders in the
Central Valley



SRTS Technical Assistance Resource

California SRTS Technical Assistance Resource Center (TARC)

- Funded by Statewide SRTS Non-Infrastructure Project
- 4 Regional Project Coordinators to provide technical assistance to local communities
- Assist Caltrans on Improvement of SRTS Program

California Safe Routes to School Technical Assistance Resource Center

A project of
California Active
Communities



HOME

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SEARCH

More Info

- [About](#)
- [Contact us](#)
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New SRTS Funding Available!

Caltrans has released a call for Cycle 3 Federal SRTS Projects! \$42 million is available to fund infrastructure and non-infrastructure (education and encouragement) projects.

Visit Caltrans' SRTS Website <http://www.dot.ca.gov/hq/LocalPrograms/saferoutes/saferoutes.htm> to learn more.

Questions?

- Check back here to sign up for a Federal Cycle 3 Call for Projects Informational Webinar in May.
- Call your [Caltrans District Local Assistance Engineer](#) or [Caltrans District SRTS Coordinator](#).
- Which Caltrans District am I in? [Find out here!](#)

Contact Your TARC Regional Coordinator with Additional Questions

- Caltrans Districts 1-4: Patti Horsley, patti.horsley@cdph.ca.gov
- Caltrans Districts 5, 7, 12: Justine Hearn, Justine.hearn@cdph.ca.gov
- Caltrans Districts 6, 9, 10: Victoria Custodio, Victoria.custdio@cdph.ca.gov
- Caltrans Districts 8, 11: Kristin Haukom, Kristin.haukom@cdph.ca.gov

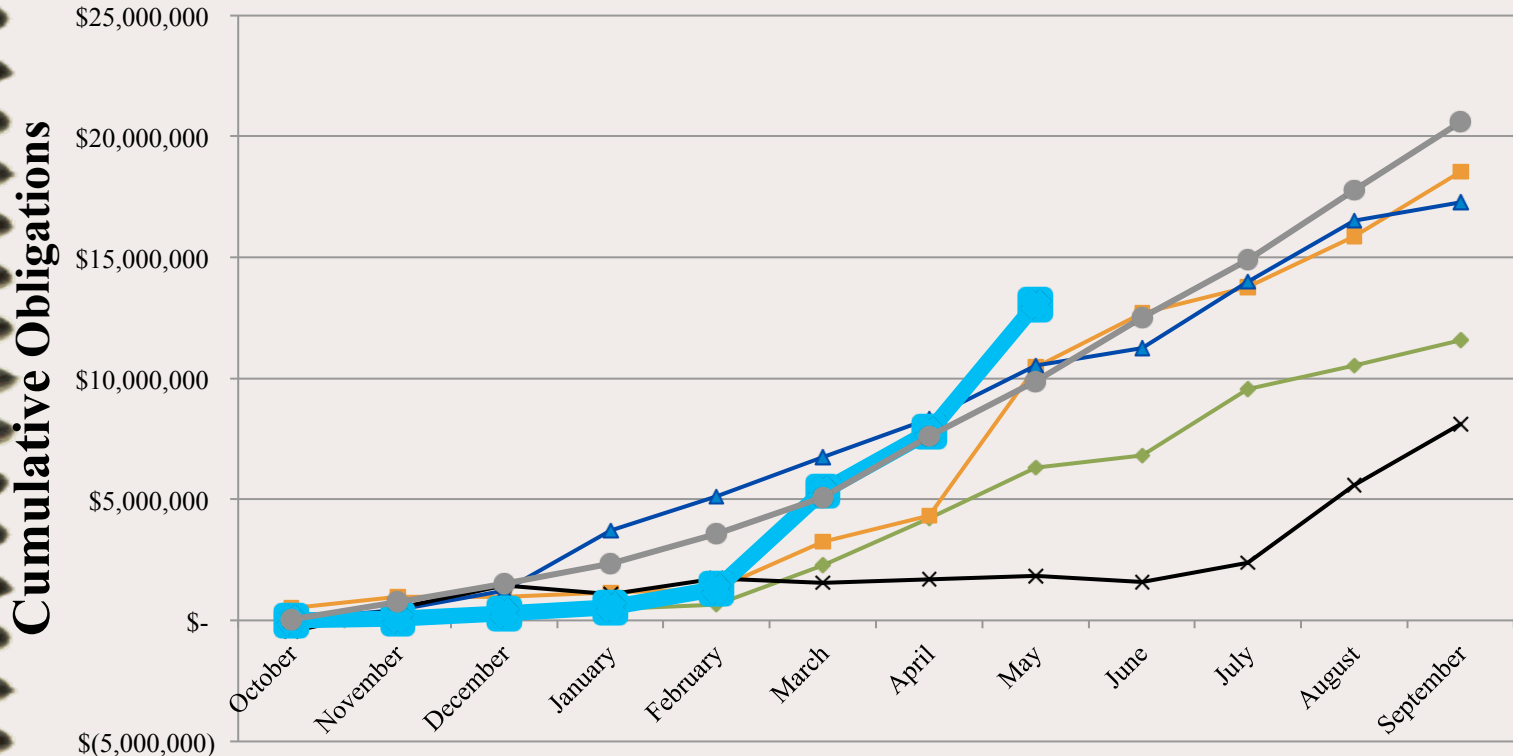
Check out these TARC Resources

[Tips for Writing a Winning Grant Proposal](#) [PDF]

[SRTS Program Development Resources](#) [PDF]

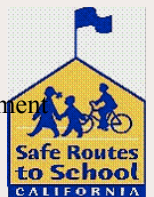
California SRTS Obligations over Time

SRTS - Cumulative Obligations in each FFY



Months of the Federal Fiscal Year

◆ 07/08 FFY
 ■ 08/09 FFY
 ▲ 09/10 FFY
 × 10/11 FFY
 ■ 11/12 FFY
 ● Needed to Meet 11/12 Apportionment



California SRTS Resources

- Go to the Caltrans SRTS website at:

<http://www.dot.ca.gov/hq/LocalPrograms/saferoutes/saferoutes.htm>

- Go to the California specific SRTS website at: <http://www.casaferoutestoschool.org/>

- Contact Dawn Foster, Statewide SRTS Program Coordinator, at dawn.foster@dot.ca.gov

California's Federal SRTS Program



Thank You!